Course Number
FHWA-NHI-310126

Course Title
Risk-Based Stewardship and Oversight (State Version)

The Federal Highway Administration (FHWA) is implementing a risk-based framework to optimize the effective
and efficient delivery of the Federal-aid Highway Program and to help ensure its compliance with Federal laws and
regulations. The framework is known as the Risk-based Stewardship and Oversight (RBSO) approach, and builds upon
the risk management foundation in the agency’s strategic and performance planning processes. The RBSO model is
designed to identify risk-based stewardship and oversight (S&O) actions and initiatives for both programs and projects.
This Instructor-led Training (ILT) course will provide participants with a working knowledge of the RBSO approach
and a basic understanding of its key components: the Stewardship and Oversight Agreement between an FHWA
Division and the State DOT, the role of risk management in developing stewardship and oversight strategies, the data-
driven Compliance Assessment Program (CAP), and risk-based stewardship and oversight involvement in Projects
of Division Interest (PoDIs), including Major Projects. State DOTs will benefit from understanding how and why
FHWA is implementing its new RBSO approach. State DOTs will learn the basis upon which they can assume greater
responsibilities for various project types as envisioned by Congress in 23 USC 106(c) and their oversight responsibilities
under Section 106(g). The training will provide the State DOTs and local public agencies (LPAs) with tools to help them
manage risk and focus resources when assuming project actions traditionally handled by FHWA. The course presents
opportunities where State DOTs can discuss how they can partner with their FHWA counterparts in various elements
of the RBSO framework for successful implementation.

Outcomes
Upon completion of the course, participants will be able to:
• Describe the vision and foundation for Risk-Based Stewardship and Oversight (RBSO).
• Explain how FHWA and State DOT roles and responsibilities are documented within the Stewardship and Oversight
  Agreement.
• Relate how the RBSO framework integrates risk management practices in partnership with State DOTs.
• Describe how FHWA is increasingly using program involvement, rather than project involvement, to support States in
  optimizing the effective delivery of the FAHP.
• Identify those project actions and approvals that FHWA Divisions are still responsible for under 23 U.S.C. Section 106.
• Explain how FHWA identifies Projects of Division Interest (PoDIs) and develops supporting plans.
• Describe how FHWA will use project data gathering tools for assessing program compliance.

Target Audience
State DOT program managers, project managers, engineers, planners, and other transportation specialists involved in the
delivery of projects under the Federal-aid Highway Program. In addition to State DOT staff, their counterparts at Local
Public Agencies, as well as those at other State and regional agencies that deliver Federal-aid highway projects, would
also benefit from taking this course.

Training Level: Basic
Fee: 2020: $160 Per Person; 2021: N/A
Length: 1 DAYS (CEU:.6 UNITS)
Class Size: Minimum: 20; Maximum: 30

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